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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,934	12/12/2001	Robert J. Small	M-11675 US

CONFIRMATION NO. 1435

FORMALITIES LETTER



OC000000007378839

Skjerven Morrill Macpherson LLP
28th Floor
Three Embaracadero Ctr.
San Francisco, CA 94111

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/13/2002 SMINASS1 00000095 192386 10017934

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

J. Saccoccia
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert J. Small; Maria Peterson; Tuan Truong; Melvin Keith Carter; and Lily Yao

Assignee: EKC Technology, Inc.

Title: Compositions for Chemical Mechanical Planarization of Copper

Serial No.: 10/017,934 Filing Date: December 12, 2001

Examiner: Unknown Group Art Unit: 1765

Docket No.: M-11675 US

San Francisco, California
March 7, 2002

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-
PROVISIONAL APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on January 28, 2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63;
2. Change in Correspondence Address and Customer Number Change; and
3. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing

Date Granted.

LAW OFFICES OF
SKJERVEN MORRILL
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(415) 217-6000
FAX (415) 434-0646

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- | | | |
|----|---|-----------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$ 130.00 |
| 3. | TOTAL FEES: | \$ 130.00 |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of December 12, 2001. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EL 896829544 US

Respectfully submitted,

K. Alison de Runtz

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Attorney of Record
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